

Department	Entity	Days	b/f	PWC	CRR	CRR Explanation
Adults & Communities	Effective Support Project - reviews, eligibility & cost effective support	12			1	1 - ineffective Resource Allocation System (RAS)
Adults & Communities	Residential Care Debt - processes and performance monitoring	10		y	2	2 - Uncertainty over Social Care funding
Adults & Communities	Payments to care providers	12	y		2	2 - Uncertainty over Social Care funding
Adults & Communities	Partnership working - operational delivery - Health & Wellbeing Board	10			3	3 - Partnership working doesn't return best outcomes
Adults & Communities	Business Continuity - operational delivery - A&C	6			6	6 - ICT systems maintenance and restoration
Adults & Communities	Disaster Recovery - operational delivery - A&C	6			6	6 - ICT systems maintenance and restoration
Adults & Communities	Sector Led Improvements	12			10	10 - Multiple service areas fail to meet current MTFS reductions
Adults & Communities	Partnership Arrangements and Statutory Reporting Requirements	12			12	12 - Challenges caused by the Welfare Reform Act
Adults & Communities	Personal Budgets - take up & arrangements	12			13	13 - Failure to ascertain and manage increased demand for services
Adults & Communities	Procurement rules - operational delivery - A&C	5			14	14 - Effective contract management of devolved services in new service delivery models
Adults & Communities	Direct Payments - variable payment methods	12	y		14	14 - Effective contract management of devolved services in new service delivery models
Adults & Communities	Social care information systems - implement new/decommission old	15			15	15 - Insufficient Information & Technology solutions
Adults & Communities	Assistive Technologies	12			15	15 - Insufficient Information & Technology solutions
Adults & Communities	Devolving Budgets to Localities	12			16	16 - New processes and developments
Adults & Communities	Employee policies - operational delivery - Disciplinary - A&C	6			17	17 - Employee management
<b>Adults &amp; Communities Total</b>		154				
Chief Executives	Broadband UK - Governance and financial structures	15			3	3 - Partnership working doesn't return best outcomes
Chief Executives	Partnership working - operational delivery - Sports Partnership	10			3	3 - Partnership working doesn't return best outcomes
Chief Executives	Supporting Leicestershire Families – Grant claims	10			4	4 - Supporting Leicestershire Families (SLF) outcomes not achieved
Chief Executives	Supporting Leicestershire Families - Framework & governance	10			4	4 - Supporting Leicestershire Families (SLF) outcomes not achieved
Chief Executives	Information sharing - operational delivery - Multi Agency Information Sharing Hub	6			7	7 - Failure of information security
Chief Executives	Coroners Service – Memorandum of Understanding	3			14	14 - Effective contract management of devolved services in new service delivery models
Chief Executives	Project Management - operational delivery - Atrium Developer's Cont'ns	10			15	15 - Insufficient Information & Technology solutions
Chief Executives	New Remuneration Arrangements	12			17	17 - Employee management
Chief Executives	Employee policies - operational delivery - Equalities - Chief Execs	6			17	17 - Employee management
<b>Chief Executives Total</b>		82				
Children & Young People's Service	Supporting Leicestershire Families – Initiatives & projects	10			4	4 - Supporting Leicestershire Families (SLF) outcomes not achieved
Children & Young People's Service	Preparedness for External Inspections	10			5	5 - EMSS – systems implementation results in disruption
Children & Young People's Service	Common Assessment Framework - capacity and data quality aspects	8	y		6	6 - ICT systems maintenance and restoration
Children & Young People's Service	Safeguarding	12			12	12 - Challenges caused by the Welfare Reform Act
Children & Young People's Service	Risk Management - operational delivery - CYPs	5			13	13 - Failure to ascertain and manage increased demand for services
Children & Young People's Service	Performance Management - operational delivery - CYPs	5			13	13 - Failure to ascertain and manage increased demand for services
Children & Young People's Service	Commissioning - operational delivery - CYPs	12			14	14 - Effective contract management of devolved services in new service delivery models
Children & Young People's Service	Funding of High Cost Pupils	7			14	14 - Effective contract management of devolved services in new service delivery models
Children & Young People's Service	Maintained Schools - assurance on financial compliance	100			18	18 - Providing assurance - External Auditor, s151 & other organisations
Children & Young People's Service	Excellent education in Leicestershire	12	y		20	20 - Governance
Children & Young People's Service	Transition to a new CYPs	12	y		16	16 - New processes and developments
<b>Children &amp; Young People's Service Total</b>		193				
Consolidated Risk	Partnership working - framework design & governance	15			3	3 - Partnership working doesn't return best outcomes
Consolidated Risk	Procure to pay process	10			5	5 - EMSS – systems implementation results in disruption
Consolidated Risk	Service management - People - Oracle self serve routines	10			5	5 - EMSS – systems implementation results in disruption
Consolidated Risk	Service management - Financials - Oracle OBIEE reporting tools	12			5	5 - EMSS – systems implementation results in disruption
Consolidated Risk	LCC design & control input to EMSS (Phase 2) developments	6			5	5 - EMSS – systems implementation results in disruption
Consolidated Risk	I-Expenses Scanning	6			5	5 - EMSS – systems implementation results in disruption
Consolidated Risk	Imprest Accounts - locality administration incl retention of vouchers	8			5	5 - EMSS – systems implementation results in disruption
Consolidated Risk	Business Continuity - framework design & governance	8			6	6 - ICT systems maintenance and restoration
Consolidated Risk	Disaster Recovery - framework design & governance	12			6	6 - ICT systems maintenance and restoration
Consolidated Risk	Key ICT Controls - assurance on ICT functions	12			6	6 - ICT systems maintenance and restoration
Consolidated Risk	ICT Resiliency - National Computing Centre Project	6			6	6 - ICT systems maintenance and restoration
Consolidated Risk	ICT Resiliency (Maintenance & Restoration)	6			6	6 - ICT systems maintenance and restoration
Consolidated Risk	ICT Policies & associated deployment methodology	6			6	6 - ICT systems maintenance and restoration
Consolidated Risk	E-Communications – Acceptable use policies & monitoring	8			7	7 - Failure of information security
Consolidated Risk	Information Security Risk Assessment (ISRA) Process	6			7	7 - Failure of information security
Consolidated Risk	Key ISRA's - critical friend commentary	6			7	7 - Failure of information security
Consolidated Risk	Information Security Breaches - Lessons learned & Remedial Action	6			7	7 - Failure of information security
Consolidated Risk	Information Sharing - framework design & governance	10			7	7 - Failure of information security
Consolidated Risk	External Hosting & Associated Contractual Obligations	12			7	7 - Failure of information security
Consolidated Risk	Freedom of Information Requests - framework design & governance	10			7	7 - Failure of information security
Consolidated Risk	MTFS - Meeting current and identifying future requirements	20			11	11 - Delivery of additional savings over those already allocated to departments
Consolidated Risk	Risk Management - framework design & governance	10			13	13 - Failure to ascertain and manage increased demand for services
Consolidated Risk	Insurance Cover - planning (Horizon gathering) & operational	10			13	13 - Failure to ascertain and manage increased demand for services
Consolidated Risk	Performance Management - framework design & governance	10			13	13 - Failure to ascertain and manage increased demand for services
Consolidated Risk	Commissioning - framework design & governance	12			14	14 - Effective contract management of devolved services in new service delivery models
Consolidated Risk	Commissioning & Contracts Board	5	y		14	14 - Effective contract management of devolved services in new service delivery models
Consolidated Risk	Outsourced processing - LCC financials inputs to/outputs from EMSS	6			14	14 - Effective contract management of devolved services in new service delivery models
Consolidated Risk	Outsourced processing - LCC HR inputs to/outputs from EMSS	6			14	14 - Effective contract management of devolved services in new service delivery models
Consolidated Risk	LCC financials workaround solutions during transition to EMSS	12			14	14 - Effective contract management of devolved services in new service delivery models
Consolidated Risk	Procurement rules - framework design & governance	10			14	14 - Effective contract management of devolved services in new service delivery models
Consolidated Risk	Project Management - framework design & governance	10			15	15 - Insufficient Information & Technology solutions
Consolidated Risk	Project Management - operational delivery - TBC	10			15	15 - Insufficient Information & Technology solutions
Consolidated Risk	Code of Connection	6			15	15 - Insufficient Information & Technology solutions
Consolidated Risk	empSN	6			15	15 - Insufficient Information & Technology solutions
Consolidated Risk	Delivery of I&T Work Programme, esp. Dept. Efficiencies related	12			15	15 - Insufficient Information & Technology solutions
Consolidated Risk	ICT Infrastructure Planning	6			15	15 - Insufficient Information & Technology solutions
Consolidated Risk	SAN Replacement Project	12			15	15 - Insufficient Information & Technology solutions
Consolidated Risk	Major ICT Contract Renewals	12			15	15 - Insufficient Information & Technology solutions
Consolidated Risk	ICT Procurement	12			15	15 - Insufficient Information & Technology solutions
Consolidated Risk	Innovative ICT solutions - Emerging Technologies	6			15	15 - Insufficient Information & Technology solutions
Consolidated Risk	Major ICT Infrastructure &/or Application Developments Virtualisation	12			15	15 - Insufficient Information & Technology solutions
Consolidated Risk	Major ICT Upgrades	6			15	15 - Insufficient Information & Technology solutions
Consolidated Risk	My Desktop' - update all PCs & laptops to modern Microsoft tools	6			15	15 - Insufficient Information & Technology solutions
Consolidated Risk	Traded Services - framework design & governance	10			16	16 - New processes and developments
Consolidated Risk	Carbon Reduction initiatives and responsibilities	12			16	16 - New processes and developments
Consolidated Risk	M Star	6			16	16 - New processes and developments
Consolidated Risk	Employee Policies - framework design & governance	12			17	17 - Employee management
Consolidated Risk	Key financial systems - assurance on control functions (12/13 final)	20		y	18	18 - Providing assurance - External Auditor, s151 & other organisations
Consolidated Risk	Key financial systems - assurance on control functions (13/14 interim)	30		y	18	18 - Providing assurance - External Auditor, s151 & other organisations
Consolidated Risk	Carbon Reduction Targets	12			18	18 - Providing assurance - External Auditor, s151 & other organisations
Consolidated Risk	Corporate Property Management & Investment	12			18	18 - Providing assurance - External Auditor, s151 & other organisations
Consolidated Risk	National Fraud Initiative	20			19	19 - Counter fraud and corruption
Consolidated Risk	Counter fraud & conduct - framework design & governance	10			19	19 - Counter fraud and corruption
Consolidated Risk	Counter fraud & conduct - operational delivery - compliance with BSI	5			19	19 - Counter fraud and corruption
Consolidated Risk	Governance arrangements - TBC	10			20	20 - Governance
Consolidated Risk	Advice	20			21	21 - Unallocated including advice
<b>Consolidated Risk Total</b>		571				
Corporate Resources	Supporting Leicestershire Families – Accounting arrangements	10			4	4 - Supporting Leicestershire Families (SLF) outcomes not achieved
Corporate Resources	Work transferred back into Corporate Finance from EMSS	6			5	5 - EMSS – systems implementation results in disruption
Corporate Resources	Business Continuity - operational delivery - Customer Services & Ops	6			6	6 - ICT systems maintenance and restoration
Corporate Resources	Disaster Recovery - operational delivery - Customer Services & Ops	6			6	6 - ICT systems maintenance and restoration
Corporate Resources	Freedom of Information Requests - operational delivery - Insurance	5			7	7 - Failure of information security
Corporate Resources	Performance Management - operational delivery - Corporate Resources	5			13	13 - Failure to ascertain and manage increased demand for services
Corporate Resources	ICT Operating Model	12			15	15 - Insufficient Information & Technology solutions
Corporate Resources	Asset Management System	12			15	15 - Insufficient Information & Technology solutions
Corporate Resources	Traded Services - operational delivery - School food support	6			16	16 - New processes and developments
Corporate Resources	Traded Services - operational delivery - central print	4			16	16 - New processes and developments
Corporate Resources	Traded Services - operational delivery - education services to schools	5			16	16 - New processes and developments
Corporate Resources	Service Review Property Services - Delivery of Target Operating Model	12			16	16 - New processes and developments
Corporate Resources	Scheme of Delegation - Property Services	0			16	16 - New processes and developments
Corporate Resources	Doing the Basics Well	20			16	16 - New processes and developments
Corporate Resources	Employee policies - operational delivery - Induction - Corporate Resces	6			17	17 - Employee management
Corporate Resources	Young People's Learning Agency - assurance on schools' use of funding	4			18	18 - Providing assurance - External Auditor, s151 & other organisations
Corporate Resources	Teachers Pensions Service - assurance on accounting for contributions	10		y	18	18 - Providing assurance - External Auditor, s151 & other organisations
Corporate Resources	Treasury Management	8		y	18	18 - Providing assurance - External Auditor, s151 & other organisations
Corporate Resources	Counter fraud & conduct - operational delivery - Customer Service Centre	5			19	19 - Counter fraud and corruption
<b>Corporate Resources Total</b>		142				
Environment & Transport	Notice Processing Unit	12			3	3 - Partnership working doesn't return best outcomes
Environment & Transport	Concessionary Travel-smart cards	12			3	3 - Partnership working doesn't return best outcomes
Environment & Transport	Waste Trac System	4			3	3 - Partnership working doesn't return best outcomes
Environment & Transport	Midlands Highways Alliance	12			3	3 - Partnership working doesn't return best outcomes
Environment & Transport	SEN Travel and A&C	12			8	8 - Academy and secondary age conversions impact home to school transport policy
Environment & Transport	Transformation Agenda	6			13	13 - Failure to ascertain and manage increased demand for services
Environment & Transport	Procurement rules - operational delivery - E&T	5			14	14 - Effective contract management of devolved services in new service delivery models

Department	Entity	Days	b/f	PWC	CRR	CRR Explanation
Environment & Transport	Replacement of LHMIS - Design and Configuration	6			15	15 - Insufficient Information & Technology solutions
Environment & Transport	Plant Hire (RAS, ie. Plant, Vehicles, Labour)	12			15	15 - Insufficient Information & Technology solutions
Environment & Transport	LHMIS Business Processes	6			16	16 - New processes and developments
Environment & Transport	Future Highways Provision	12			16	16 - New processes and developments
Environment & Transport	Employee policies - operational delivery - Workforce planning - E&T	6			17	17 - Employee management
Environment & Transport	BSOG	6			18	18 - Providing assurance - External Auditor, s151 & other organisations
Environment & Transport	Certification of local transport grant schemes	2			18	18 - Providing assurance - External Auditor, s151 & other organisations
Environment & Transport	E&T Capital Programme	12			18	18 - Providing assurance - External Auditor, s151 & other organisations
Environment & Transport	Whetstone Civic Amenity Site	3			21	21 - Unallocated including advice
Environment & Transport Total		128				
Public Health	Information sharing - operational delivery - Public Health	8			7	7 - Failure of information security
Public Health	Freedom of Information Requests - operational delivery - Public Health	5			7	7 - Failure of information security
Public Health	School Nursing Service	10			9	9 - Provision and continuation of the Schools Nursing Service
Public Health	Risk Management - operational delivery	5			13	13 - Failure to ascertain and manage increased demand for services
Public Health	Commissioning - operational delivery - Public Health	12			14	14 - Effective contract management of devolved services in new service delivery models
Public Health	Transition to County Council systems - health check	5			16	16 - New processes and developments
Public Health	Clinical governance framework	10			20	20 - Governance
Public Health Total		55				
Grand Total		1325				

Adults & Communities
Children & Young People's Service
Corporate Resources
Chief Executives
Environment & Transport
Public Health
Corporate Effect

A&C - Communities & Wellbeing
A&C - Personal Care & Support
A&C - Promoting Independence
A&C - Strategy & Commissioning
CYPS - Children's Social Care
CYPS - Commissioning & Development
CYPS - Education & Learning
CYPS - Schools
CYPS - Strategic Initiatives
CR - Customer Services & Operations
CR - East Midlands Shared Services
CR - People & Transformation
CR - Strategic Finance
CR - Strategic Information Technology & Communications
CE - Democratic Services
CE - Legal Services
CE - Leicester-Shire Rutland Sport Partnership
CE - Planning and Historic and Natural Environment
CE - Public Health Team
CE - Regulatory Services
CE - Strategy, Partnerships & Communities
CE - Supporting Leicestershire Families
CE - Youth Justice & Safer Communities
E&T - Environment
E&T - Highways
E&T - Transportation